

Ensuring Exactly-Once Processing in Large-Scale Streaming Architectures: Mechanisms, Trade-offs, and Performance Optimization

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Abstract: Modern distributed streaming systems face significant challenges in maintaining data integrity when processing high-volume, real-time data streams. Network partitions, node failures, and retry mechanisms can introduce data duplication or loss, compromising system reliability. This article presents a comprehensive framework for implementing exactly-once processing guarantees in large-scale streaming architectures. The framework encompasses transactional event processing models, idempotent operation design principles, and sophisticated checkpointing mechanisms that ensure data consistency across distributed nodes. Key components include fault-tolerant state recovery protocols, incremental snapshot techniques, and coordination mechanisms that maintain processing guarantees under various failure scenarios. The article compares exactly-once semantics with at-least-once and at-most-once alternatives, identifying optimal use cases for each guarantee type. Performance implications of exactly-once processing are evaluated, including latency overhead, throughput considerations, and resource utilization patterns. Optimization strategies such as incremental checkpointing and efficient state management are presented to mitigate performance costs. The framework addresses practical implementation challenges in existing streaming platforms while providing guidance for architects designing robust, large-scale data processing systems that prioritize correctness without compromising operational efficiency.

Keywords: Exactly-once processing, distributed streaming systems, fault tolerance, transactional semantics, checkpointing mechanisms.

INTRODUCTION

The Criticality of Data Integrity in Distributed Streaming Systems

The exponential growth of real-time data processing demands has elevated distributed streaming systems to the forefront of modern computing architectures. As organizations increasingly rely on continuous data streams for critical decision-making processes, maintaining data integrity has become paramount in distributed streaming environments. Traditional batch processing models, while effective for historical data analysis, fail to meet the stringent requirements of contemporary applications that demand immediate processing of high-velocity data streams with absolute correctness guarantees. The shift toward real-time analytics and event-driven architectures has intensified the need for robust mechanisms that ensure data consistency across distributed processing nodes without compromising system performance or scalability.

Challenges Posed by Failures, Retries, and Network Partitions

Distributed streaming architectures inherently face complex challenges that threaten data consistency and system reliability. When streaming nodes become unavailable or experience temporary disconnections, the system must handle message redelivery mechanisms that can inadvertently introduce duplicate processing events. Network partitions create isolated clusters of processing

nodes, leading to divergent state computations that require sophisticated reconciliation mechanisms to maintain global consistency. Retry mechanisms, while essential for fault tolerance, can cause the same message to be processed multiple times if not properly coordinated, resulting in state inconsistencies that propagate throughout the distributed system.

Overview of Consistency Problems: Data Duplication and Loss

The consistency problems manifested in distributed streaming environments primarily revolve around data duplication and loss scenarios. Data duplication occurs when retry mechanisms or failover processes cause identical messages to be processed multiple times across different nodes, potentially leading to inflated aggregations, incorrect state transitions, or cascading errors in downstream processing components. Conversely, data loss can result from premature acknowledgments, node failures during processing, or coordination failures between distributed components. These integrity violations can have severe consequences in mission-critical applications such as financial transaction processing, real-time fraud detection, or industrial control systems where accuracy is non-negotiable.

RESEARCH OBJECTIVES AND ARTICLE SCOPE

The primary research objectives focus on establishing a comprehensive framework for achieving exactly-once processing guarantees in large-scale streaming architectures. This includes developing robust mechanisms for transactional event processing, designing idempotent operations that can safely handle duplicate invocations, and implementing sophisticated checkpointing strategies that enable consistent state recovery across distributed failures. The article scope encompasses theoretical foundations of processing semantics, practical implementation techniques, performance optimization strategies, and real-world deployment considerations. Previous work has explored various aspects of data integrity in streaming systems, with research addressing verification protocols (Habib, A. *et al.*, 2005) and data parallelism approaches (Shinde, B., & Singh, S. T. 2016) that highlight the complexity of maintaining correctness in distributed environments.

Contribution to the Field of Distributed Systems

The contribution to distributed systems encompasses several key areas that advance the current state of streaming architectures. This work provides a systematic comparison of different processing semantics in streaming environments, establishing clear guidelines for selecting appropriate consistency models based on application requirements. Novel optimization strategies for exactly-once processing are presented that minimize performance overhead while maintaining correctness guarantees. The article bridges the gap between theoretical consistency models and practical implementation considerations, offering concrete solutions for real-world streaming deployments. Additionally, it establishes a foundation for future research in fault-tolerant streaming architectures by identifying key challenges and proposing research directions for emerging streaming paradigms.

PROCESSING GUARANTEES IN DISTRIBUTED STREAMING SYSTEMS

Taxonomy of Delivery Semantics in Streaming Architectures

The foundation of reliable distributed streaming systems rests upon well-defined delivery semantics that govern how messages are processed and acknowledged across distributed nodes. A

comprehensive taxonomy of delivery semantics provides the theoretical framework necessary for understanding the various guarantees available in streaming architectures. These semantics form the cornerstone of system design decisions, influencing everything from performance characteristics to fault tolerance mechanisms. The classification of delivery semantics encompasses three primary categories, each offering distinct trade-offs between reliability, performance, and resource utilization. Understanding this taxonomy is crucial for architects and developers who must select appropriate processing guarantees based on specific application requirements and operational constraints (Mahambre, S. P. *et al.*, 2007).

At-Most-Once Processing: Characteristics and Use Cases

At-most-once processing guarantees ensure that each message is processed no more than once, even in the presence of failures or network partitions. This semantic model prioritizes message uniqueness over completeness, accepting the possibility of message loss to prevent duplicate processing. The implementation typically involves immediate acknowledgment upon message receipt, before actual processing begins, which eliminates the risk of duplicate processing but introduces the potential for data loss if processing fails after acknowledgment. Use cases for at-most-once semantics include scenarios where occasional data loss is acceptable but duplicate processing would be problematic, such as real-time monitoring systems, telemetry data collection, or applications where approximate results are sufficient and processing efficiency is paramount.

At-Least-Once Processing: Guarantees and Limitations

At-least-once processing provides stronger durability guarantees by ensuring that every message is processed at least once, potentially multiple times in failure scenarios. This approach prioritizes message completeness over uniqueness, acknowledging messages only after successful processing completion. The implementation involves persistent storage of message state and retry mechanisms that can lead to duplicate processing when failures occur during the acknowledgment phase. While this semantic model prevents data loss, it introduces the complexity of handling duplicate messages and maintaining idempotent processing logic. The limitations include increased resource consumption due to retry mechanisms, potential state inconsistencies from duplicate processing,

and the need for sophisticated deduplication strategies in downstream systems.

Exactly-Once Processing: Definition and Theoretical Foundations

Exactly-once processing represents the most stringent semantic guarantee, ensuring that each message is processed exactly once regardless of failures, retries, or network partitions. The theoretical foundations of exactly-once semantics rest upon the combination of idempotent operations, transactional processing, and sophisticated coordination mechanisms that prevent both message loss and duplication. This approach requires careful orchestration of message acknowledgment, state management, and failure recovery to maintain consistency across distributed components. The implementation challenges include coordinating distributed transactions, managing checkpoint consistency, and ensuring atomic operations across multiple processing nodes. Despite its complexity, exactly-once processing provides the strongest correctness

guarantees for applications where data integrity is non-negotiable.

Comparative Analysis of Semantic Guarantees

The selection of appropriate delivery semantics depends on the specific requirements of the streaming application, including performance constraints, fault tolerance needs, and correctness requirements. At-most-once processing offers the lowest latency and resource overhead but sacrifices data completeness. At-least-once processing provides strong durability guarantees while accepting the complexity of duplicate handling. Exactly-once processing delivers the highest level of correctness but requires significant coordination overhead and sophisticated implementation techniques. The comparative analysis reveals that each semantic model addresses different aspects of the consistency-performance spectrum, requiring careful consideration of application-specific trade-offs when designing streaming architectures.

Table 1: Comparison of Processing Guarantees in Distributed Streaming Systems (Mahambre, S. P. *et al.*, 2007; Freitas, W. 2023)

Processing Guarantee	Data Loss Risk	Duplicate Processing	Coordination Overhead	Use Cases	Performance Impact
At-Most-Once	High	None	Low	Telemetry, Monitoring	Minimal
At-Least-Once	None	Possible	Medium	Log Processing, Analytics	Moderate
Exactly-Once	None	None	High	Financial Transactions, Critical Systems	Significant

Trade-offs Between Consistency, Availability, and Partition Tolerance

The fundamental trade-offs in distributed streaming systems align with the principles of the CAP theorem, which establishes the impossible trinity of simultaneously achieving consistency, availability, and partition tolerance (Freitas, W. 2023). In streaming contexts, these trade-offs manifest as design decisions between strong consistency guarantees, system availability during failures, and resilience to network partitions. Exactly-once processing typically favors consistency over availability, requiring coordination mechanisms that may block processing during partition events. At-least-once processing prioritizes availability and partition tolerance while relaxing consistency requirements. Understanding these trade-offs is essential for making informed architectural decisions that align

with specific application requirements and operational constraints in distributed streaming environments.

MECHANISMS AND TECHNIQUES FOR EXACTLY-ONCE PROCESSING

Transactional Event Processing Frameworks

Transactional event processing frameworks provide the foundational infrastructure for implementing exactly-once semantics in distributed streaming systems. These frameworks encapsulate event processing within transactional boundaries, ensuring that either all operations within a transaction succeed or none are applied, thereby maintaining consistency across distributed state. The frameworks typically integrate with existing transaction management systems, providing mechanisms for coordinating distributed transactions across multiple processing nodes and

external systems. Modern streaming platforms have evolved to incorporate transactional semantics directly into their core architectures, enabling developers to build applications that leverage transactional guarantees without explicitly managing complex coordination protocols. The effectiveness of these frameworks depends on their ability to minimize transaction coordination overhead while maintaining strong consistency guarantees (Jesper H. Spring, *et al.*, 2007).

Idempotent Operations Design Principles

Idempotent operations form the cornerstone of exactly-once processing by ensuring that repeated execution of the same operation produces identical results regardless of how many times it is invoked. The design principles for idempotent operations require careful consideration of operation semantics, state management, and side effect handling to guarantee consistent behavior under duplicate processing scenarios. Key principles include designing operations that can safely handle duplicate inputs, maintaining deterministic processing logic, and implementing proper state checks to prevent inconsistent updates. The implementation of idempotent operations often involves incorporating unique identifiers, version vectors, or other mechanisms that enable the system to detect and handle duplicate processing attempts. Understanding these principles is essential for building robust streaming applications that can maintain correctness even when messages are processed multiple times (Spring, J. H. *et al.*, 2007).

Checkpointing Mechanisms and State Snapshots

Checkpointing mechanisms enable distributed streaming systems to capture consistent snapshots of processing state that can be used for recovery and coordination purposes. These mechanisms must coordinate across distributed processing nodes to ensure that checkpoints represent globally consistent states, avoiding partial updates that could lead to inconsistencies during recovery. The implementation of checkpointing involves suspending processing activities, capturing the current state of all processing components, and persisting this state to durable storage systems. Advanced checkpointing strategies employ techniques such as incremental snapshots, copy-on-write semantics, and asynchronous state persistence to minimize the performance impact of checkpoint operations. The effectiveness of checkpointing mechanisms directly impacts the

recovery time and consistency guarantees of exactly-once processing systems.

Two-Phase Commit Protocols in Streaming Contexts

Two-phase commit protocols provide a coordination mechanism for achieving atomicity across distributed transactions in streaming environments. The adaptation of traditional two-phase commit to streaming contexts requires modifications to handle the continuous nature of data streams and the performance requirements of real-time processing. The protocol involves a prepare phase where all participants indicate their readiness to commit, followed by a commit phase where the coordinator instructs all participants to finalize their operations. In streaming contexts, these protocols must be optimized to minimize coordination latency while maintaining strong consistency guarantees. The implementation challenges include handling participant failures, managing timeout scenarios, and ensuring that the coordination overhead does not compromise the throughput requirements of streaming applications.

Deduplication Strategies and Message Ordering

Deduplication strategies are essential for maintaining exact-once semantics by identifying and eliminating duplicate messages that can arise from retry mechanisms or network failures. These strategies typically involve maintaining records of previously processed messages, implementing efficient lookup mechanisms, and coordinating deduplication state across distributed processing nodes. Message ordering considerations become critical in deduplication scenarios, as the processing order can affect the final system state even when duplicate elimination is successful. Advanced deduplication approaches incorporate techniques such as bloom filters, distributed hash tables, and time-based expiration policies to balance deduplication effectiveness with resource utilization. The coordination of deduplication across distributed nodes requires careful consideration of consistency models and synchronization mechanisms.

Coordination Protocols for Distributed Consensus

Coordination protocols establish the foundation for achieving distributed consensus in exactly-once processing systems, ensuring that all processing nodes agree on the global state and processing decisions. These protocols must handle scenarios where network partitions, node failures, or message delays can prevent immediate consensus,

requiring sophisticated mechanisms for maintaining system progress while preserving consistency guarantees. The implementation of coordination protocols in streaming contexts involves balancing the need for strong consistency with the performance requirements of real-time processing. Modern coordination approaches

leverage techniques such as leader election, distributed locking, and consensus algorithms that are optimized for streaming workloads. The effectiveness of these protocols determines the overall reliability and performance characteristics of exactly-once processing systems.

Table 2: Mechanisms and Techniques for Exactly-Once Processing Implementation (Spring, J. H. *et al.*, 2007)

Technique	Primary Function	Complexity	Scalability	Integration Requirements
Transactional Frameworks	Atomic Operations	High	Medium	Platform-specific APIs
Idempotent Operations	Duplicate Safety	Medium	High	Application-level Design
Checkpointing	State Persistence	Medium	Medium	Distributed Storage
Two-Phase Commit	Coordination	High	Low	Multi-node Synchronization
Deduplication	Message Uniqueness	Medium	High	State Management
Consensus Protocols	Distributed Agreement	High	Medium	Network Coordination

IMPLEMENTATION CHALLENGES AND ARCHITECTURAL SOLUTIONS

Handling Network Partitions and Node Failures

Network partitions and node failures represent fundamental challenges in distributed streaming systems, requiring sophisticated mechanisms to maintain system functionality and data consistency during adverse conditions. The detection and recovery from network partitions must be implemented with minimal latency to prevent prolonged service disruptions, while ensuring that system components can continue operating in degraded modes when full connectivity is not available. Node failure scenarios demand robust failover mechanisms that can redistribute processing loads across remaining healthy nodes without losing critical state information or violating exactly-once processing guarantees. The implementation of partition-tolerant architectures requires careful consideration of split-brain scenarios, where different network segments may independently attempt to maintain system operations, potentially leading to conflicting state updates that must be reconciled upon network recovery (Qiu, T. *et al.*, 2007).

State Management in Distributed Streaming Environments

State management in distributed streaming environments presents unique challenges due to the continuous nature of data streams and the requirement for low-latency processing across multiple distributed nodes. The distributed state

must be partitioned, replicated, and synchronized across processing nodes while maintaining consistency guarantees that support exactly-once processing semantics. Effective state management strategies must balance the trade-offs between state locality, which improves processing performance, and state distribution, which provides fault tolerance and scalability. The implementation involves designing state partitioning schemes that minimize cross-node communication while ensuring that related state elements are co-located for efficient processing. Additionally, state versioning and conflict resolution mechanisms are essential for handling concurrent updates and maintaining consistency across distributed replicas (Holub, V., & Tuma, P. 2007).

Fault-Tolerant State Recovery Mechanisms

Fault-tolerant state recovery mechanisms ensure that distributed streaming systems can restore consistent processing states following node failures or network disruptions. These mechanisms must be designed to handle various failure scenarios, including individual node crashes, cascading failures, and network partitions that isolate groups of processing nodes. The recovery process involves identifying the most recent consistent state snapshot, reconstructing lost state information from persistent storage and log files, and coordinating the restoration across all affected nodes. Advanced recovery strategies employ techniques such as parallel recovery, incremental state reconstruction, and optimistic recovery

protocols that minimize the time required to restore full system functionality. The effectiveness of recovery mechanisms directly impacts system availability and the ability to maintain exactly-once processing guarantees during failure scenarios.

Incremental Snapshot Techniques

Incremental snapshot techniques optimize the performance of state persistence operations by capturing only the changes that have occurred since the previous snapshot, rather than persisting the entire system state. These techniques are particularly important in streaming environments where frequent snapshots are necessary to minimize recovery time, but the overhead of full state persistence would significantly impact processing performance. The implementation of incremental snapshots requires sophisticated change tracking mechanisms that can identify modified state elements and efficiently serialize only the delta information. Advanced approaches incorporate techniques such as copy-on-write semantics, versioned state structures, and compression algorithms that further reduce the storage and network overhead associated with snapshot operations. The coordination of incremental snapshots across distributed nodes requires careful synchronization to ensure that the resulting snapshots represent globally consistent states.

Coordination Overhead in Multi-Node Deployments

Coordination overhead in multi-node deployments represents a significant challenge for exactly-once processing systems, as the communication and synchronization required to maintain consistency can substantially impact system performance and scalability. The overhead includes the cost of distributed consensus protocols, checkpoint coordination, transaction management, and failure

detection mechanisms that are essential for maintaining exact-once semantics. Minimizing coordination overhead requires careful architectural design that reduces the frequency and scope of coordination operations while maintaining the necessary consistency guarantees. Optimization strategies include hierarchical coordination protocols, batching of coordination messages, and the use of efficient consensus algorithms that are specifically designed for streaming workloads. The balance between coordination overhead and consistency guarantees represents a fundamental trade-off that must be carefully considered in multi-node streaming deployments.

Integration with Existing Streaming Platforms

Integration with existing streaming platforms requires careful consideration of the architectural patterns and APIs provided by popular frameworks while implementing exactly-once processing guarantees. Modern streaming platforms provide various levels of built-in support for exactly-once semantics, ranging from basic deduplication mechanisms to comprehensive transactional processing frameworks. The integration process involves adapting exactly-once processing techniques to work within the constraints and capabilities of existing platform architectures, while leveraging platform-specific optimizations and features. Successful integration requires understanding the platform's internal state management, checkpoint mechanisms, and coordination protocols to ensure that exactly-once guarantees are maintained across the entire processing pipeline. The implementation must also consider compatibility with existing applications, migration strategies, and the performance implications of adding exactly-once semantics to established streaming workflows.

Table 3: Implementation Challenges and Architectural Solutions (Qiu, T. *et al.*, 2007; Holub, V., & Tuma, P. 2007)

Challenge	Impact Level	Solution Category	Implementation Cost	Recovery Time
Network Partitions	High	Partition Detection	High	Minutes
Node Failures	High	Failover Mechanisms	Medium	Seconds
State Management	Medium	Distributed State	Medium	Variable
Snapshot Coordination	Medium	Incremental Techniques	Low	Seconds
Coordination Overhead	High	Optimization Protocols	High	Ongoing

Platform Integration	Medium	Adapter Patterns	Medium	Development Phase
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PERFORMANCE ANALYSIS AND OPTIMIZATION STRATEGIES

Cost Implications of Exactly-Once Processing Guarantees

The implementation of exactly-once processing guarantees introduces significant cost implications that must be carefully evaluated against the benefits of enhanced data consistency. These costs manifest in multiple dimensions, including increased computational overhead for coordination protocols, additional storage requirements for maintaining checkpoints and transaction logs, and network bandwidth consumption for distributed consensus mechanisms. The financial implications extend beyond direct resource consumption to include the complexity costs associated with system maintenance, debugging, and operational monitoring of exactly-once processing systems. Organizations must assess whether the business value of perfect data consistency justifies the additional infrastructure investments and operational overhead required to maintain exact-once semantics. The cost-benefit analysis becomes particularly complex in large-scale deployments where the coordination overhead scales with the number of processing nodes and the volume of data streams (Akber, S. M. A. *et al.*, 2017).

Latency and Throughput Trade-offs

The pursuit of exactly-once processing guarantees creates fundamental trade-offs between system latency and throughput that must be carefully balanced based on application requirements. The coordination mechanisms required for exactly-once semantics introduce additional processing steps that can increase end-to-end latency, particularly in scenarios where distributed consensus or multi-phase commit protocols are required. Throughput considerations become critical when the coordination overhead begins to saturate system resources or create bottlenecks in the processing pipeline. The optimization of latency-throughput trade-offs requires sophisticated architectural designs that can minimize coordination overhead while maintaining the necessary consistency guarantees. Advanced techniques such as pipelining, batching, and asynchronous processing can help mitigate some of these trade-offs, but the fundamental tension between consistency and performance remains a central challenge in exactly-once processing systems (Javaid, H. *et al.*, 2010).

Resource Utilization Patterns and Scaling Considerations

Resource utilization patterns in exactly-once processing systems exhibit distinct characteristics that must be understood for effective capacity planning and scaling strategies. The coordination overhead typically increases non-linearly with the number of processing nodes, creating potential scaling bottlenecks that must be addressed through architectural optimizations. Memory utilization patterns are particularly important due to the state management requirements of exactly-once processing, including checkpoint storage, transaction logs, and coordination metadata. CPU utilization tends to be higher due to the additional processing required for coordination protocols and consistency checks. Network utilization patterns reflect the communication overhead associated with distributed consensus and checkpoint synchronization. Understanding these resource utilization patterns is essential for designing scalable, exactly-once processing systems that can maintain performance characteristics as the system grows in size and complexity.

Performance Optimization Techniques for Large-Scale Deployments

Performance optimization techniques for large-scale exactly-once processing deployments focus on minimizing coordination overhead while maintaining consistency guarantees. Key optimization strategies include hierarchical coordination protocols that reduce the number of nodes involved in consensus operations, batching techniques that amortize coordination costs across multiple operations, and caching mechanisms that reduce the frequency of expensive consistency checks. Advanced optimization approaches leverage techniques such as speculative execution, where operations are performed optimistically and rolled back if conflicts are detected, and adaptive coordination protocols that adjust their behavior based on current system conditions. The implementation of these optimization techniques requires careful consideration of the specific characteristics of the streaming workload and the underlying infrastructure capabilities.

Benchmarking Methodologies and Empirical Evaluation

Benchmarking methodologies for exactly-once processing systems must capture the unique

performance characteristics and trade-offs associated with strong consistency guarantees. Effective benchmarking approaches require the development of representative workloads that reflect the specific patterns and requirements of exactly-once processing applications. The evaluation metrics must encompass not only traditional performance measures such as throughput and latency, but also consistency-specific metrics such as coordination overhead, recovery time, and the frequency of consistency violations. Empirical evaluation methodologies should include stress testing under various failure scenarios, scalability testing across different cluster sizes, and performance comparison studies that quantify the costs and benefits of different consistency models. The benchmarking process must also account for the variability in performance characteristics across different deployment environments and workload patterns.

Industry Case Studies and Real-World Performance Metrics

Table 4: Performance Analysis of Exactly-Once Processing Systems (Akber, S. M. A. *et al.*, 2017; Javaid, H. *et al.*, 2010)

Metric	At-Most-Once	At-Least-Once	Exactly-Once	Performance Overhead
Latency	Low	Medium	High	2-5x increase
Throughput	High	Medium	Low	30-60% reduction
Memory Usage	Low	Medium	High	40-80% increase
Network Overhead	Minimal	Low	High	50-100% increase
CPU Utilization	Low	Medium	High	25-50% increase
Storage Requirements	Low	Medium	High	100-200% increase

CONCLUSION

Exactly-once processing guarantees represent a critical advancement in distributed streaming architectures, addressing fundamental challenges of data integrity while introducing significant implementation complexities. The comprehensive framework presented demonstrates that achieving exactly-once semantics requires sophisticated coordination mechanisms, including transactional event processing, idempotent operations, and robust checkpointing strategies. The trade-offs between consistency guarantees and system performance remain substantial, with coordination overhead representing a primary scaling challenge that demands careful architectural consideration. Modern streaming platforms have evolved to incorporate these guarantees through advanced techniques such as incremental snapshots, distributed consensus protocols, and fault-tolerant state recovery mechanisms. The cost implications of exactly-once processing extend beyond computational overhead to encompass operational

complexity and resource utilization patterns that must be balanced against business requirements for data accuracy. Performance optimization strategies, including hierarchical coordination and batching techniques, offer promising avenues for mitigating the inherent costs while maintaining correctness guarantees. The integration challenges with existing streaming platforms highlight the need for continued innovation in coordination protocols and state management techniques. Future developments in exactly-once processing will likely focus on reducing coordination overhead, improving scalability characteristics, and developing more efficient algorithms for distributed consensus in streaming environments. The evolution of these techniques will continue to shape the landscape of reliable distributed computing.

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