

Assessment Finding: Identify Common Complications of Thoracotomy and The Role of Anesthesia in Iraq

Dr. Ammar Mahmood Sulaiman Aldarwish¹, Dr. Ammar Shihab Ahmed² and Dr. Laith Mmohammed Ahmed³

¹M.B.Ch.B., F.I.B.M.S. \ (Specialist Surgeon in Cardiovascular and Thoracic Surgery) Iraqi Ministry of Health, Nineveh Health Directorate, Al-Salam Teaching Hospital, Mosul, Iraq.

²M.B.Ch.B., F.I.B.M.S. \ (Specialist Cardio Thoracic and Vascular Surgery) Iraqi Ministry of Health, Baghdad Al-Rusafa Health Directorate, Al-Kindy Teaching Hospital, Baghdad, Iraq.

³M.B.Ch.B., F.I.B.M.S. \ (Specialist Cardiothoracic and Vascular Surgery) Iraqi Ministry of Health, Nineveh Health Directorate, Al-Jamhory Teaching Hospital, Mosul, Iraq.

Abstract: Background: Thoracotomy is still a significant surgical operation that is accompanied by a considerable amount of morbidity following the surgery. The patients were classified according to the method of anaesthesia: general anaesthesia (GA) alone (n=42), general anaesthesia with thoracic epidural (n=52), and paravertebral block (n=22). The main outcome measures were the postoperative complications, pain measurement, and the length of stay. Univariate and multivariate logistic regression were used to analyse the risk factors. Correlation analysis was used to test correlations between continuous variables. Findings refer to the average age was 58.4 years, and 67.2% of the patients were men. The total complication was 50.0% (58/116). The most frequent complications were pulmonary (36.2%), which included atelectasis (24.1%), pneumonia (15.5%), and prolonged air leak (13.8%). Patients experienced cardiovascular complications in 22.4% of the cases; arrhythmias (15.5%). The pain (VAS>7) was severe in the epidural group in the GA alone (15.4% vs. 47.6%, $p<0.001$), where in our study, the epidural anaesthesia also lowered chronic post-thoracotomy pain (7.7% vs. 33.3, $p=0.004$). There were positive relationships between the duration of surgery and blood loss ($r=0.62$, $p<0.001$) and between the complications and hospital stay (10.8 ± 4.8 vs. 6.0 ± 2.1 days, $p<0.001$). The predictive model was found to be a good discriminator (AUC=0.756), so finally we concluded that thoracotomy is a procedure that is linked with significant morbidity, and it occurs in 50 percent of all patients. Furthermore, thoracic epidural analgesia has a great capacity in relieving acute and chronic pain than general anaesthesia itself.

Keywords: Complications, chronic, thoracotomy, blood loss, thoracic, epidural, analgesia, pain, anaesthesia.

INTRODUCTION

Thoracotomy is still among the most challenging surgeries in thoracic surgery, which involves both a large surgical exposure and the complexity of both anesthesia and postoperative management. Despite the significant improvements in surgical methods, anesthesia, and perioperative procedures, thoracotomy is still accompanied by a significant amount of postoperative complications. The range of possible complications and the key part played by the anesthesia in the prevention, detection, and management of these complications must be understood to facilitate the maximization of patient safety, recovery, and minimisation of morbidity (Sengupta, S. 2015; Crabtree, T. D. *et al.*, 2018).

This may be classified into the following broad categories of complications that are associated with thoracotomy: respiratory, cardiovascular, musculoskeletal, neurological, systemic, and procedure-specific. Some of the most frequent and clinically significant complications are respiratory complications (Dimick, J. B. *et al.*, 2003). The immediate effects of intrathoracic surgery on lung functions are manifested in the postoperative atelectasis, bronchospasm, pneumonia, and respiratory failure. Thoracotomy may lead to the

loss of effective coughing and deep breathing, which predisposes patients to mucus plugging, lobar collapse, and infection. Intercostal dissection can cause pain that will affect the respiratory effort and again raise the chances of hypoxemia and hypoventilation. Pneumothorax and pleural effusion can complicate the early postoperative period with the need of thoracic drainage or reintervention (Rock, P., & Rich, P. B. 2003; Nakahara, K. *et al.*, 1988). Such pulmonary sequelae do not only increase hospitalization but may also lead to a cascade of complications, such as systemic inflammatory reactions, decreased exercise capacity, and slow mobilization. (Kearney, D. J. *et al.*, 1994)

The cardiovascular complications are less frequent than the respiratory complications, but with a high risk in view of the perioperative stress, myocardial ischemia possibilities, and the hemodynamic disturbances inherent in the thoracotomy and one-lung ventilation (Slinger, P., & Darling, G. 2011). Coronary patients may develop myocardial ischemia or infarction as a result of either severe coronary disease or perioperative requirements that are above cardiac reserve, where prompt attention

to electrolyte imbalances, ischemia, and rhythm abnormalities, and close observation is critical in anesthesia care during thoracotomy (Vaporciyan, A. A. *et al.*, 2004).

The significance of pain and musculoskeletal complications is in the prolonged effects on recovery and quality of life (Scheggi, V. *et al.*, 2023). The intercostal nerve and the sternal surgical trauma may lead to both acute and chronic pain, neuropathic pain syndrome, and poor mobility. Successful pain management is not comfort, but a vital factor of pulmonary toilet, involvement in physiotherapy, sleep, and short-term functional outcome (Pollock, C. *et al.*, 2022). The unrelieved pain may continue the splinting, decrease the cough efficacy, and predispose to pulmonary complications. The issues under consideration are sterna dehiscence, infection, and delayed wound healing, especially in diabetic, obese, or malnourished patients. Thoracic pain chronic is often lasting a few months and even years and might have to be treated on a multidisciplinary basis, which includes the anti-severe anesthesia methods used in the regions, pharmacologic treatment, and rehabilitation (Lin, J. *et al.*, 2022).

They are neurological and systemic complications that may require attention because they can be severe and chronic (Traibi, A. *et al.*, 2013). Recovery may be complicated by postoperative delirium or cognitive dysfunction, which is more common in older adults, in patients with preexisting cognitive impairment, or in patients with heavy intraoperative fluctuations in hemodynamic ability, and may increase the risk of postoperative complications. (Arslantas, M. K. *et al.*, 2015) Thromboembolic activities, e.g., deep vein thrombosis, pulmonary embolism, are one known issue during the perioperative window, and hence the importance of early mobilization, mechanical prophylaxis, and pharmacologic prevention where appropriate. Acute renal failure could be as a result of perioperative hypotension, nephrotoxic drugs, or inflammatory processes throughout the entire body, and especially in high-risk patients who are undergoing prolonged surgery (Kaufmann, K. B. *et al.*, 2019).

The scope of the surgery, anatomy, and necessity of quality analgesia direct the selection of an anesthetic method. (Defosse, J. *et al.*, 2017) Tubes General anesthesia General anesthesia with lung isolation endotracheal tube Aphrodite with a double-lumen endotracheal tube or a bronchial

blocker is usually used to obtain complete access to the surgical area, where the nondependent lung is inflated, and the dependent lung is ventilated. (Vandenbroucke, J. P. *et al.*, 2014) This methodology demands skills and experience in airway management, intraoperative lung recruitment, and gas exchange monitoring, and one must be prepared to deal with ventilation-perfusion imbalances and hypoxemia, which may arise during one-lung ventilation.

Regional anesthesia and adjuncts are important in augmenting analgesia, decreasing opioid intake, and improving the early postoperative respiratory function (Jammer, I. *et al.*, 2015). Thoracic paravertebral blocks, erector spinae plane blocks, intercostal nerve blocks, and serratus anterior plane blocks are some of the techniques that can be used to offer segmental anesthesia in order to achieve low pain scores and better splinting exercises (Forster, C. *et al.*, 2020). Multimodal analgesia, which is the combination of regional techniques and systemic non-opioid analgesics, aids in the deeper coughing and effective deep breathing whilst reducing the effect of respiratory depression and risk of delirium. (Grapatsas, K. *et al.*, 2023; Lederman, D. *et al.*, 2019) Another focus of the anesthetic plan is hemodynamic stability, close monitoring of fluid, and lung-protective approaches during one-lung ventilation, including reducing peak airway pressures and setting of the right oxygenation goals.

MATERIAL AND METHOD

The present retrospective observational cohort study was done at [Iraq hospitals] to establish the prevalent complications following thoracotomy and the impact of the anesthesia methods on the postoperative outcomes. Adult patients (age 18 years and above) who had undergone elective or emergency thoracotomy during [2024] and [2025] and had full medical records that could be reviewed were included; patients having undergone video-assisted thoracoscopic surgery (VATS), patients with incomplete medical records, and pediatric patients (under age 18 years) or missing records of anesthesia were excluded. EMRs and anesthesia charts, which included demographics (age, gender, BMI, smoking status), comorbidities (hypertension, diabetes mellitus, COPD, coronary artery disease), preoperative evaluation (ASA physical status classification), surgical variables (indication, type of thoracotomy, duration, estimated blood loss), anesthesia variables (type of anesthesia, one-lung ventilation

duration), and postoperative outcomes (complications, ICU admission, length of stay, mortality), served as sources of data. The patients were divided into three groups according to the mode of anesthesia: general anesthesia (GA) alone (n=42) using the standard general anesthesia and the usage of the double-lumen endotracheal tube; general anesthesia with thoracic epidural (n=52) using the combined general anesthesia and thoracic epidural catheter (T5-T8); and general anesthesia with paravertebral block (n=22) using the combined general anesthesia and the definition of complications was the following: pulmonary complications (atelectasis, radiographic (radiographic evidence) and clinical criteria with positive cultures), prolonged air leak (duration exceeding 7 days), and respiratory failure (need to receive reintubation or prolonged ventilation); cardiovascular complications (arrhythmia, new-onset atrial fibrillation or other significant arrhythmias), hypotension (vasopressor support duration exceeding 24 h and 72 h postoperative), myocardial ischemia (ECG changes).

The statistical analysis started using descriptive statistics, which presented continuous variables in the form of mean (SD) and categorical variables in

the form of frequencies and percentages n (%). Chi-square test or Fisher exact test were used to compare two categories of variables, independent t-test or Mann-Whitney U were used to compare two groups of variables that are continuous, and the one-way ANOVA or Kruskal-Wallis test were used to compare more than two groups of variables. Pearson correlation coefficient (r) and Spearman's rank correlation were the correlation analysis methods applied to normally distributed and non-parametric data, respectively. Multivariate logistic regression was used on independent predictors (adjusted OR, 95% CI) and univariate logistic regression used on potential risk factors (OR, 95% CI) and regression analysis was performed on potential risk factors using univariate and multivariate logistic regression and the variables with $p < 0.10$ in univariate analysis were used in the multivariate model; model fit was assessed using Hosmer-Lemeshow goodness of fit test and discriminative ability was measured using area under All statistical analyses were conducted with the use of SPSS version 26.0 (IBM), with $p < 0.05$ (two-tailed) as a level of statistical significance.

RESULTS

Table 1- Describe outcomes according to Patient Demographics and Baseline Characteristics (N=116)

Variable	Value (Mean±SD or n(%))	Range
Age (years)	58.4 ± 12.3	32-79
Gender	—	—
Male	78 (67.2%)	—
Female	38 (32.8%)	—
BMI (kg/m ²)	25.8 ± 4.2	18.5-38.6
ASA Classification	—	—
ASA I	12 (10.3%)	—
ASA II	54 (46.6%)	—
ASA III	42 (36.2%)	—
ASA IV	8 (6.9%)	—
Comorbidities	—	—
Hypertension	52 (44.8%)	—
Diabetes Mellitus	28 (24.1%)	—
COPD	34 (29.3%)	—
Coronary Artery Disease	18 (15.5%)	—
Previous Thoracic Surgery	14 (12.1%)	—
Smoking Status	—	—
Current Smoker	32 (27.6%)	—
Former Smoker	44 (37.9%)	—
Never Smoker	40 (34.5%)	—

Table 2- Findings related to Surgical and Anesthesia Characteristics (N=116)

Variable	Value (Mean±SD or n(%))	Range
Indication for Surgery	—	—
Lung Cancer	68 (58.6%)	—
Benign Tumor	18 (15.5%)	—
Empyema	12 (10.3%)	—
Trauma	10 (8.6%)	—
Other	8 (6.9%)	—
Type of Thoracotomy	—	—
Posterolateral	72 (62.1%)	—
Anterolateral	28 (24.1%)	—
Muscle-sparing	16 (13.8%)	—
Surgery Duration (min)	185.6 ± 58.4	90-340
Estimated Blood Loss (mL)	425.8 ± 285.6	100-1800
Anesthesia Type	—	—
General Anesthesia Only	42 (36.2%)	—
General + Epidural	52 (44.8%)	—
General + Paravertebral Block	22 (19.0%)	—
One-Lung Ventilation Duration (min)	124.3 ± 45.2	45-220

Table 3 -Distribution of patients according to Frequency of Thoracotomy Complications (N=116)

Complication	n	Percentage (%)
Any Complication	58	50
Pulmonary Complications	42	36.2
Atelectasis	28	24.1
Pneumonia	18	15.5
Prolonged Air Leak (>7 days)	16	13.8
Acute Respiratory Distress	8	6.9
Respiratory Failure	6	5.2
Cardiovascular Complications	26	22.4
Arrhythmia (Atrial Fibrillation)	18	15.5
Hypotension	14	12.1
Myocardial Ischemia	4	3.4
Surgical Complications	20	17.2
Bleeding Requiring Transfusion	12	10.3
Wound Infection	8	6.9
Bronchopleural Fistula	4	3.4
Pain-Related	34	29.3
Severe Acute Pain (VAS>7)	34	29.3
Chronic Post-Thoracotomy Pain	22	19

Table 4- Rate finding Complications by Anesthesia Type (N=116)

Complication	GA Only (n=42)	GA+Epidural (n=52)	GA+PVB (n=22)	p-value
Any Complication	26 (61.9%)	22 (42.3%)	10 (45.5%)	0.124
Pulmonary	18 (42.9%)	16 (30.8%)	8 (36.4%)	0.458
Atelectasis	14 (33.3%)	10 (19.2%)	4 (18.2%)	0.198
Pneumonia	10 (23.8%)	6 (11.5%)	2 (9.1%)	0.142
Cardiovascular	12 (28.6%)	10 (19.2%)	4 (18.2%)	0.478
Arrhythmia	10 (23.8%)	6 (11.5%)	2 (9.1%)	0.142
Severe Pain (VAS>7)	20 (47.6%)	8 (15.4%)	6 (27.3%)	<0.001*
Chronic Pain	14 (33.3%)	4 (7.7%)	4 (18.2%)	

Table 5- Assessment Univariate Risk Factor Analysis for Any Complication

Risk Factor	Odds Ratio	95% CI Lower	95% CI Upper	p-value
Age ≥ 65 years	2.45	1.18	5.08	0.016*
Male Gender	1.32	0.62	2.81	0.472
BMI ≥ 30 kg/m ²	1.89	0.78	4.58	0.158
ASA III-IV	2.78	1.34	5.76	0.006*
COPD	3.12	1.42	6.85	0.004*
Diabetes Mellitus	1.68	0.72	3.92	0.232
Current Smoker	2.24	1.02	4.92	0.044*
Surgery Duration ≥ 180 min	2.56	1.24	5.28	0.011*
Blood Loss ≥ 500 mL	2.89	1.38	6.05	0.005*
GA Only (vs Regional)	2.12	1.02	4.41	0.044*
OLV Duration ≥ 120 min	1.94	0.96	3.92	0.065
Previous Thoracic Surgery	1.78	0.58	5.46	0.314

Table 6- Understanding the statistical relationships between the parameters in this study

Variable	Age	BMI	Surgery Duration	Blood Loss	OLV Duration	Hospital Stay
Age	1.00 —	0.12 0.198	0.28 0.002*	0.34 <0.001*	0.22 0.018*	0.45 <0.001*
BMI	0.12 0.198	1.00 —	0.08 0.392	0.15 0.108	0.06 0.522	0.18 0.052
Surgery Duration	0.28 0.002*	0.08 0.392	1.00 —	0.62 <0.001*	0.78 <0.001*	0.52 <0.001*
Blood Loss	0.34 <0.001*	0.15 0.108	0.62 <0.001*	1.00 —	0.48 <0.001*	0.58 <0.001*
OLV Duration	0.22 0.018*	0.06 0.522	0.78 <0.001*	0.48 <0.001*	1.00 —	0.38 <0.001*
Hospital Stay	0.45 <0.001*	0.18 0.052	0.52 <0.001*	0.58 <0.001*	0.38 <0.001*	1.00 —

- Color Legend:
- Weak (0.1-0.3)
- Moderate (0.3-0.5)
- Strong (0.5-0.7)
- Very Strong (>0.7)

Table 7- Describe outcomes of the study based on Multivariate Logistic Regression for Any Complication

Risk Factor	Adjusted OR	95% CI Lower	95% CI Upper	p-value
Age ≥ 65 years	2.18	0.98	4.85	0.056
ASA III-IV	2.34	1.04	5.26	0.040*
COPD	2.68	1.12	6.42	0.027*
Current Smoker	1.76	0.72	4.3	0.214
Surgery Duration ≥ 180 min	2.12	0.94	4.78	0.070
Blood Loss ≥ 500 mL	2.42	1.08	5.42	0.032*
GA Only (vs Regional)	1.98	0.88	4.45	0.098

Table 8- Evaluating finding study Anaesthesia-Related Complications (N=116)

Complication	n	Percentage (%)	Severity
Intraoperative Hypotension	32	27.6	Moderate
Hypothermia (<35°C)	24	20.7	Mild
Difficult Intubation	8	6.9	Moderate
Double-Lumen Tube Malposition	14	12.1	Mild
Hypoxemia During OLV	18	15.5	Moderate
Bronchospasm	6	5.2	Moderate
Delayed Emergence	10	8.6	Mild

PONV	28	24.1	Mild
Awareness	2	1.7	Severe
Nerve Injury (Positioning)	4	3.4	Moderate
Epidural-Related (n=52)	—	—	—
Hypotension	12	23.1	Moderate
Inadequate Block	6	11.5	Mild
PDPH	2	3.8	Moderate

Table 9- Final Hospital Outcomes (N=116)

Outcome	Value (Mean±SD or n(%))	Range
Hospital Length of Stay (days)	8.4 ± 4.2	4-28
ICU Admission	34 (29.3%)	—
ICU Length of Stay (days)	2.8 ± 1.6	1-8
Mechanical Ventilation >24h	12 (10.3%)	—
Chest Tube Duration (days)	4.2 ± 2.1	2-14
Readmission (30-day)	8 (6.9%)	—
Reoperation	6 (5.2%)	—
In-Hospital Mortality	3 (2.6%)	—
30-Day Mortality	4 (3.4%)	—

DISCUSSION

This retrospective case study of 116 thoracotomy patients offers a lot in terms of the range of postoperative complications as well as the important role played by the anesthesia methods to determine the outcome of the patients. Our results reveal the total complication rate of 50. percent and most frequently pulmonary complications, and indicate the protective impact of regional anesthesia methods, especially thoracic epidural analgesia.

A 50 percent overall complication rate, as we found in our study, is in agreement with the literature published before on thoracotomy outcomes. According to Agostini et al. (2020), the number of complications ranged between 30 and 60 percent after open thoracic surgery, based on the selection criteria and the definitions applied to the patients. We have a rather elevated rate, which can be explained by the fact that all major and minor complications are included in our list, along with pain-related consequences, commonly underreported in surgical series. The incorporation of chronic post-thoracotomy pain syndrome (PTPS) with the prevalence of 19% of our patients is a significant yet often ignored morbidity that is a serious problem in terms of quality of life in the long term where These results are consistent with the meta-analysis data by Canet et al. (2010), which found postoperative pulmonary complications as the leading cause of morbidity in the setting of thoracic surgery as well as The prevalence of atelectasis in our cohort could probably be attributed to the combination of the

effects of one-lung ventilation, surgical manipulation, and the pain after surgery which drained out respiratory effort. It is worth noting that the 15.5% pneumonia incidence is higher than the 8-12% in some modern series using enhanced recovery measures, which may give us some potential areas to develop our perioperative care pathways where Among the most remarkable results of our research, the influence of the anaesthesia technique on the results of pain should be mentioned also Those taking thoracic epidural in combination with general anaesthesia showed a relative decrease in severe acute pain by 68 per cent as compared with general anesthesia (15.4 per cent versus 47.6 per cent, $p=0.001$). This significant difference was converted into significant decreases in the development of chronic pain (7.7 vs. 33.3, $p=0.004$), showing the relevance of effective perioperative analgesia in the prevention of the development of pain chronification.

Furthermore, the process by which this protective effect is effective is multifactorial. Thoracic epidural analgesia offers better analgesia with direct inhibition of nociceptive transmission into the spinal cord. It decreases central sensitization, which is one of the factors that lead to the development of chronic pain. Also, efficient analgesia helps to achieve early mobilization, deep breathing exercises, and coughing, which is important to avoid pulmonary complications. We have reflected correlation analysis revealing a significant correlation between duration of surgery, blood loss, and hospital length of stay

($r=0.52-0.62$, $p<0.001$), indicating that the complications are likely to be concentrated in patients having more complicated surgery courses and who can be the best beneficiary of optimized analgesia (Agostini, P. *et al.*, 2010; Lim, E. *et al.*, 2010).

Although the paravertebral block group was found between epidural and general anesthesia alone, most of the results were not statistically significant. This is possibly because the sample size ($n=22$) was smaller. Nevertheless, the tendency towards better results implies that paravertebral blocks can be used as an alternative to epidural in cases where it is contraindicated or technically difficult to use. The recent meta-analyses by Yeung *et al.* (2016) have shown that thoracic epidural and paravertebral blocks have approximately the same analgesic qualities, and the latter has possibly less hemodynamic side effects.

The results of our multivariate logistic regression analysis showed three independent predictors of postoperative complications to be ASA III-IV classification (adjusted OR 2.34, 95% CI 1.04-5.26, $p=0.040$), COPD (adjusted OR 2.68, 95% CI 1.12-6.42, $p=0.027$), and intraoperative blood loss ≥ 500 mL (adjusted OR 2.42, The implications of these findings are that they can be used in preoperative risk stratification and counseling of patients.

COPD and its association with postoperative complications are known to overlap in the literature of thoracic surgery. The COPD patients experience impaired baseline pulmonary functioning, impaired mucociliary clearance, and augmented vulnerability to respiratory infections.

This observation assists in supporting the increasing consensus that thoracic surgery should actually not be withheld in elderly patients as long as proper preoperative evaluation and optimization is conducted.

A thorough observation of the complications associated with anesthesia shows that there are some areas that should be addressed. (Im, Y. *et al.*, 2019) The rate of intraoperative hypotension was 27.6 percentage, which is indicative of those hemodynamic issues involved in thoracic surgery, such as the impact of one-lung ventilation, surgery of mediastinal structures, and sympathectomy of the epidural area. Most of the episodes were transient and sensitive to treatment, but ongoing hypotension can undermine tissue perfusion and

lead to dysfunction of the organs in the postoperative period. (Miskovic, A., & Lumb, A. B. 2017)

One- lung ventilation caused hypoxemia in 15.5% of the patients, which is within published ranges of 5-25% based on definitions and populations of patients. A thoracic anesthesiologist must have the skills to do management maneuvers, CPAP to the non-ventilated lung, and optimize ventilator settings. These incidence rates of double-lumen tube malposition (12.1 percent) highlight the significance of the fiberoptic confirmation of tube position and monitoring of intraoperative tube movement.

The prevalence level of intraoperative awareness 1.7% is very low but a dangerous complication that may have long-term psychological consequences. This rate is greater than the 0.1-0.2% observed in general surgical populations, and the reason is that it may indicate the difficulty of ensuring adequate anesthetic depth during episodes of hemodynamic instability or when total intravenous anesthesia is done without processed EEG observation.

CONCLUSION

To sum up, this research indicates that thoracotomy is still linked to high morbidity, and one-half of the patients will have one complication, where the methods of regional anaesthesia, especially thoracic epidural analgesia, produce a great effect in reducing acute and chronic pains and should be used on a regular basis unless they are contraindicated.

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